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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,442	01/19/2001	Jean-Christophe Francis Audonnet	454313-3154.2

## CONFIRMATION NO. 8693

## FORMALITIES LETTER



\*OC00000006161747\*

William S. Frommer  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145

(September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Jean-Christophe AUDONNET et al.

Serial No. : 09/766,442

Filing Date : January 19, 2001

For : IMPROVED DNA VACCINES FOR FARM ANIMALS, IN PARTICULAR BOVINES AND PORCINES

Art Unit : 1641

Examiner : To Be Assigned

745 Fifth Avenue  
New York, NY 10151

**EXPRESS MAIL**

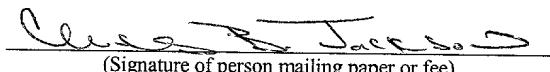
Mailing Label Number: EL 819167653 US

Date of Deposit: August 8, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Charles B. Jackson

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**RESPONSE TO NOTICE OF MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 2023

Dear Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, mailed June 8, 2001, enclosed is the Declaration For Patent Application And Power of Attorney, the

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sequence listing in both paper and computer readable forms, a Communication forwarding said sequence listing including a Preliminary Amendment, a Substitute Specification, and a check for \$130.00 to cover the required surcharge fee.

In addition, an Information Disclosure Statement, PTO form 1449, and forty-one (41) reference documents are enclosed.

Furthermore, a separate Preliminary Amendment is also enclosed.

Please charge any additional fees to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By   
William S. Frommer  
Reg. No. 25,506  
(212) 588-0800

- Encs. - Declaration and Power of Attorney  
- Paper copy of Sequence Listing  
- Computer Readable copy of Sequence Listing  
- Communication forwarding Sequence Listing  
- Substitute Specification  
- Copy of Notice to File Missing Parts  
- Information Disclosure Statement  
- PTO Form 1449  
- 47 Reference Documents  
- Preliminary Amendment